

| Company Name | Public Offering (past 12 months) | IB Compensation (past 12 months) | IB Compensation (next 3 months) | IB Relationship (past 12 months) |
|--|-------------------------------------|-------------------------------------|------------------------------------|-------------------------------------|
| Airport Authority Hong Kong | | Y | Y | Y |
| MGM China Holdings | | Y | Y | Y |
| BANK OF CHINA Frankfurt | | Y | Y | Y |
| HONGKONG INTERNATIONAL (QINGDAO) COMPANY LIMITED | | Y | Y | Y |
| SMRC Automotive Holdings Netherlands B.V. | | Y | Y | Y |
| Canara Bank | | Y | Y | Y |
| BOC Aviation (USA) Corporation | | Y | Y | Y |
| PT Indonesia Infrastructure Finance | | Y | Y | Y |
| China CITIC Bank Corporation Limited, London Branch | | Y | Y | Y |
| AIA Group Limited | | Y | Y | Y |
| FAR EAST HORIZON | | Y | Y | Y |
| SPDB SG | | Y | Y | Y |
| CMB Financial Leasing | | Y | Y | Y |
| CHINA EVERBRIGHT BANK | | Y | Y | Y |
| Biocon Biologics Global plc | | Y | Y | Y |
| Singapore Power | | Y | Y | Y |
| IBC Capital Limited | | Y | Y | Y |
| State Bank of India | | Y | Y | Y |
| Urban Renewal Authority | | Y | Y | Y |
| AIRPORT AUTHORITY | | Y | Y | Y |
| HONG KONG MONETARY AUTHORITY | | Y | Y | Y |
| Tata Capital Limited | | Y | Y | Y |
| AVIC INTERNATIONAL LEASING | | Y | Y | Y |
| Republic of the Philippines | | Y | Y | Y |
| Airport Authority Hong Kong | | Y | Y | Y |
| Bank of China Limited, Luxembourg Branch | | Y | Y | Y |
| Alibaba Group Holding Limited | | Y | Y | Y |
| AVIC INTERNATIONAL LEASING | | Y | Y | Y |
| Greenko Wind Projects (Mauritius) Ltd | | Y | Y | Y |
| Far East Horizon | | Y | Y | Y |
| PT Mineral Industri Indonesia (Persero) | | Y | Y | Y |
| Bright Food Singapore Holdings Pte. Ltd | | Y | Y | Y |
| Shin Kong Life Singapore Pte. Ltd. | | Y | Y | Y |
| CGN Energy International Holdings Co., Limited | | Y | | Y |
| KRA Inc | | Y | | Y |
| Midea Group Co., Ltd | Y | Y | | Y |
| Mahindra & Mahindra Limited | Y | Y | | Y |
| Vodafone Group Plc SPVs | Y | Y | | Y |
| Trans Crystal Ltd | Y | Y | | Y |
| Vodafone Telecommunications (India) Limited | Y | Y | | Y |
| Prime Metals Ltd | Y | Y | | Y |
| Ccii (Mauritius), Inc. | Y | Y | | Y |
| Al-Amin Investments Limited | Y | Y | | Y |
| Asian Telecommunications Investments (Mauritius) Limited | Y | Y | | Y |
| Mobilvest | Y | Y | | Y |
| Euro Pacific Securities Ltd | Y | Y | | Y |
| PT Aneka Tambang Tbk | | | Y | Y |
| PT Gag Nikel | | Y | | Y |
| Carote Ltd. | Y | Y | | Y |
| Ascendent Capital | | Y | | Y |
| Tophat International Ltd | | Y | | Y |
| Isola Castle Ltd | | Y | | Y |
| Insilico Medicine Cayman Top Co | | Y | | Y |
| BNP Paribas S.A. | | Y | | Y |
| Hai Linh Company Limited | | Y | | Y |
| Zhejiang Geely Holding Group Co., Ltd. | | Y | | Y |
| Petronas Chemicals Group Berhad | | Y | | Y |
| PT Ceria Prima Investama | | Y | | Y |
| Shanghai REFIRE Group Ltd. | Y | Y | | Y |

| | | | | |
|---|---|---|---|---|
| CMB International Capital Limited | Y | Y | | Y |
| Amidala Holdings II A Pte. Ltd. | | Y | | Y |
| LC FUND V L.P | | | | |
| LC PARALLEL FUND V L.P | Y | Y | | Y |
| Carraro India Limited | Y | Y | | Y |
| Cathay Pacific Finance III Limited | Y | Y | | Y |
| Pacific Basin Shipping Ltd. | Y | Y | | Y |
| Amer Sports, Inc. | Y | Y | | Y |
| ZEEKR Intelligent | Y | Y | | Y |
| WeRide Inc. | Y | Y | | Y |
| F.I.L.A. Fabbrica Italiana Lapis Ed Affini S.p.A. | Y | Y | | Y |
| DOMS Industries | Y | Y | | Y |
| Engie Global Developments B.V. | | Y | | Y |
| Purus Marine LLC | | Y | | Y |
| Sigenergy Technology Co. Ltd. | Y | Y | | Y |
| Punjab National Bank | Y | Y | | Y |
| Federal Bank | Y | Y | | Y |
| Fedbank Financial Services Limited | Y | Y | | Y |
| True North Fund VI LLP | Y | Y | | Y |
| Contiocean Environment Tech Group Co., Ltd. | Y | Y | | Y |
| SMITHFIELD FOODS, INC. | Y | Y | | Y |
| Midea Group Co., Ltd | Y | Y | | Y |
| Insilico Medicine Cayman Topco | | | | Y |
| Pacific Basin Shipping Ltd. | Y | Y | | Y |
| PT Sweets Indonesia | | Y | | Y |
| Wanbang Digital Energy Co., Ltd | | | | Y |
| TATA Motors Limited | | Y | | Y |
| Pacific Basin Shipping Ltd. | Y | Y | | Y |
| Punjab & Sind Bank | Y | Y | | Y |
| BNP Paribas SA | | | | Y |
| Wanbang Digital Energy Co., Ltd | | | | Y |
| Eternal Holdings Limited | Y | | Y | Y |
| Unisplendour Corporation Limited | Y | | Y | Y |
| PT Ceria Prima Investama | | Y | | Y |
| Contemporary Amperex Technology Co., Limited | Y | Y | | Y |
| BNP Paribas SA | Y | Y | | Y |
| MAHINDRA & MAHINDRA LIMITED | Y | Y | | Y |
| WeRide Inc. | Y | Y | | Y |
| SEVIOA HOLDINGS PTE LTD | | Y | | Y |
| Eternal Holdings Limited | Y | Y | | Y |
| Pacific Basin Shipping Ltd. | Y | Y | | Y |
| MAHINDRA & MAHINDRA LIMITED | Y | Y | | Y |
| NINGBO HANGZHOU BAY GEELY AUTOMOBILE COMPONENTS COMPANY LIMITED | | Y | | Y |
| PT Industri Baterai Indonesia | | Y | | Y |
| SML Holdings Limited | | Y | | Y |
| CDP Holding Ltd. | | Y | | Y |
| Eternal Holdings Limited | Y | Y | | Y |
| KPCB CHINA FOUNDERS FUND, L.P. & KPCB CHINA FUND, L.P. | Y | Y | | Y |
| INDIAN RENEWABLE ENERGY DEVELOPMENT AGENCY LIMITED | Y | | Y | Y |
| HDB FINANCIAL SERVICES LIMITED | Y | Y | | Y |
| AEGIS VOPAK TERMINALS LIMITED | Y | | Y | Y |
| ZYDUS MEDTECH PRIVATE LIMITED | | Y | | Y |
| Shanghai REFIRE Group Ltd. | Y | Y | | Y |
| AEGIS VOPAK TERMINALS LIMITED | Y | Y | | Y |
| FORD AUTOMOTIVE FINANCE (CHINA) LIMITED | | Y | Y | Y |
| BEIJING JINGDONG CENTURY TRADE CO., LTD. | | Y | Y | Y |
| TIANJIN GREAT WALL BINYIN AUTOMOTIVE FINANCE CO., LTD. | | Y | Y | Y |
| SUZANO INTERNATIONAL FINANCE B.V. | | Y | Y | Y |
| MERCEDES-BENZ AUTO FINANCE LTD. | | Y | Y | Y |
| GEELY AUTOMOBILE HOLDINGS LIMITED | | Y | Y | Y |
| ICBC FINANCIAL LEASING CO., LTD | | Y | Y | Y |

| | | | | |
|--|--|---|---|---|
| VOLVO CAR GROUP FINANCIAL LEASING (SHANGHAI) CO.,LTD. | | Y | Y | Y |
| DONGFENG NISSAN AUTO FINANCE CO., LTD. | | Y | Y | Y |
| GENIUS AUTO FINANCE CO., LTD. | | Y | Y | Y |
| GENIUS AUTO FINANCE CO., LTD. | | Y | Y | Y |
| VOLKSWAGEN FINANCE (CHINA) CO., LTD. | | Y | Y | Y |
| GENIUS AUTO FINANCE CO., LTD. | | Y | Y | Y |
| JAPAN BANK FOR INTERNATIONAL COOPERATION | | Y | Y | Y |
| HONG KONG MORTGAGE CORPORATION LIMITED-THE-KODIT GLOBAL 2023-1 CO., LTD. | | Y | Y | Y |
| MERCEDES-BENZ AUTO FINANCE LTD | | Y | Y | Y |
| THE NORINCHUKIN BANK | | Y | Y | Y |
| CHINA CONSTRUCTION BANK CORPORATION (CCB) (SEOUL BRANCH) | | Y | Y | Y |
| SHINHAN BANK | | Y | Y | Y |
| BIOCON BIOLOGICS GLOBAL PLC | | Y | Y | Y |
| CHINA EVERBRIGHT BANK CO., LTD. | | Y | Y | Y |
| SHANGHAI PUDONG DEVELOPMENT BANK CO. LTD. SINGAPORE BRANCH | | Y | Y | Y |
| BANK OF CHINA LIMITED | | Y | Y | Y |
| AUCKLAND COUNCIL | | Y | Y | Y |
| A&A GREEN PHOENIX GROUP JOINT STOCK COMPANY | | Y | Y | Y |
| BANK OF CHINA LIMITED | | Y | Y | Y |
| CHINA CONSTRUCTION BANK CORPORATION | | Y | Y | Y |
| GUNMA PREFECTURE | | Y | Y | Y |
| STATE BANK OF INDIA | | Y | Y | Y |
| SP GROUP TREASURY PTE. LTD. | | Y | Y | Y |
| TOYOTA MOTOR FINANCE (NETHERLANDS) B.V. | | Y | Y | Y |
| DAIWA SECURITIES CO. LTD. | | Y | Y | Y |
| GENIUS AUTO FINANCE CO., LTD. | | Y | Y | Y |
| STATE BANK OF INDIA | | Y | Y | Y |
| BANK OF CHINA, TORONTO BRANCH | | Y | Y | Y |
| CITY OF KITAKYUSHU | | Y | Y | Y |
| IBC CAPITAL LIMITED | | Y | Y | Y |
| CITY OF SAKAI | | Y | Y | Y |
| MALAYAN BANKING BERHAD | | Y | Y | Y |
| ALIBABA GROUP HOLDING LIMITED | | Y | Y | Y |
| CHINA CONSTRUCTION BANK CORPORATION LONDON BRANCH | | Y | Y | Y |
| TRANSPower NEW ZEALAND LIMITED | | Y | Y | Y |
| TATA CAPITAL LIMITED | | Y | Y | Y |
| AIRPORT AUTHORITY | | Y | Y | Y |
| AUSGRID FINANCE PTY LTD | | Y | Y | Y |
| AGRICULTURAL BANK OF CHINA LIMITED | | Y | Y | Y |
| KEXIM ASIA LIMITED | | Y | Y | Y |
| BANK OF COMMUNICATIONS CO., LTD. | | Y | Y | Y |
| THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGIO | | Y | Y | Y |
| JAPAN HOUSING FINANCE AGENCY | | Y | Y | Y |
| ORIX CORPORATION | | Y | Y | Y |
| HONG KONG SPECIAL ADMINISTRATIVE REGION | | Y | Y | Y |
| SUMITOMO MITSUI BANKING CORPORATION | | Y | Y | Y |
| FAR EAST HORIZON LIMITED | | Y | Y | Y |
| MACQUARIE BANK LIMITED | | Y | Y | Y |
| CENTRAL JAPAN INTERNATIONAL AIRPORT CO LTD | | Y | Y | Y |
| DBS GROUP HOLDINGS LTD | | Y | Y | Y |
| INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED | | Y | Y | Y |
| MEIJI YASUDA LIFE INSURANCE COMPANY | | Y | Y | Y |
| SOAR WISE LIMITED | | Y | Y | Y |
| UNITED OVERSEAS BANK LIMITED | | Y | Y | Y |
| ANZ BANK NEW ZEALAND LIMITED | | Y | Y | Y |
| BANK OF CHINA LIMITED | | Y | Y | Y |
| MARUBENI CORPORATION | | Y | Y | Y |

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|--|--|---|---|---|
| DZ BANK AG DEUTSCHE ZENTRAL-GENOSSENSCHAFTSBANK, FRANKFURT AM MAIN | | Y | Y | Y |
| GREENKO WIND PROJECTS (MAURITIUS) LTD | | Y | Y | Y |
| AGRICULTURAL BANK OF CHINA CO., LTD | | Y | Y | Y |
| MTR CORPORATION LIMITED | | Y | Y | Y |
| POSCO HOLDINGS INC. | | Y | Y | Y |
| TRANSURBAN FINANCE COMPANY PTY LTD | | Y | Y | Y |
| AUSNET SERVICES HOLDINGS PTY LTD | | Y | Y | Y |
| WOOLWORTHS GROUP LIMITED | | Y | Y | Y |
| FOSUN INTERNATIONAL LIMITED | | Y | Y | Y |
| ASB BANK LIMITED | | Y | Y | Y |
| AGRICULTURAL BANK OF CHINA LIMITED LONDON BRANCH | | Y | Y | Y |
| CHINA CONSTRUCTION BANK CORPORATION MACAU BRANCH | | Y | Y | Y |
| DAIWA SECURITIES GROUP INC. | | Y | Y | Y |
| INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED | | Y | Y | Y |
| KOREA LAND & HOUSING CORPORATION | | Y | Y | Y |
| KOREA RAILROAD CORPORATION | | Y | Y | Y |
| NBN CO LIMITED | | Y | Y | Y |
| WESTPAC BANKING CORPORATION | | Y | Y | Y |
| PT INDONESIA ASAHAN ALUMINIUM | | Y | Y | Y |
| AGRICULTURAL BANK OF CHINA LIMITED MACAO BRANCH | | Y | Y | Y |
| BANK OF NEW ZEALAND | | Y | Y | Y |
| INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED | | Y | Y | Y |
| INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED (SYDNEY BRANCH) | | Y | Y | Y |
| JAPAN FINANCE ORGANIZATION FOR MUNICIPALITIES | | Y | Y | Y |
| THE KOREA DEVELOPMENT BANK | | Y | Y | Y |
| THE KOREA DEVELOPMENT BANK | | Y | Y | Y |
| THE KOREA DEVELOPMENT BANK | | Y | Y | Y |
| NOMURA HOLDINGS, INC. | | Y | Y | Y |
| SAITAMA PREFECTURE | | Y | Y | Y |
| SHIZUOKA PREFECTURE | | Y | Y | Y |
| BANK OF QUEENSLAND LIMITED | | Y | Y | Y |
| SHANGHAI PUDONG DEVELOPMENT BANK CO LTD/LONDON | | Y | Y | Y |
| WESFARMERS LIMITED | | Y | Y | Y |
| SOFTBANK CORP. | | Y | Y | Y |
| TAKEDA PHARMACEUTICAL COMPANY LIMITED | | Y | Y | Y |
| AGRICULTURAL BANK OF CHINA LIMITED | | Y | Y | Y |
| BRIGHT FOOD SINGAPORE HOLDINGS PTE. LTD. | | Y | Y | Y |
| BANK OF CHINA LIMITED | | Y | Y | Y |
| NISSAN MOTOR CO.,LTD. | | Y | Y | Y |
| NTT FINANCE CORPORATION | | Y | Y | Y |
| CHINA DEVELOPMENT BANK HONG KONG BRANCH | | Y | Y | Y |
| SHINHAN FINANCIAL GROUP CO., LTD. | | Y | Y | Y |
| SOFTBANK GROUP CORP. | | Y | Y | Y |
| SUMITOMO MITSUI FINANCE AND LEASING COMPANY, LIMITED. | | Y | Y | Y |
| CITY OF SAPPORO | | Y | Y | Y |
| CITY OF KAWASAKI | | Y | Y | Y |
| KUMAMOTO PREFECTURE | | Y | Y | Y |
| MIYAGI PREFECTURE | | Y | Y | Y |
| CHIBA PREFECTURAL GOVERNMENT | | Y | Y | Y |
| CITIC SECURITIES BROKERAGE (HK) LIMITED | | Y | Y | Y |
| CHINA CITIC BANK CORPORATION LIMITED | | Y | Y | Y |
| AGRICULTURAL BANK OF CHINA LIMITED | | Y | Y | Y |
| CHINA MERCHANTS BANK CO., LTD. | | Y | Y | Y |
| BANK OF COMMUNICATIONS CO., LTD. FRANKFURT BRANCH | | Y | Y | Y |
| COMMONWEALTH BANK OF AUSTRALIA | | Y | Y | Y |
| EAST JAPAN RAILWAY COMPANY | | Y | Y | Y |
| INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED | | Y | Y | Y |
| OVERSEA-CHINESE BANKING CORPORATION LIMITED | | Y | Y | Y |
| AICHI PREFECTURE | | Y | Y | Y |

| | | | | |
|---|--|---|---|---|
| BOC AVIATION LIMITED | | Y | Y | Y |
| DBS BANK LTD. | | Y | Y | Y |
| MIZUHO FINANCIAL GROUP, INC. | | Y | Y | Y |
| NATIONAL AUSTRALIA BANK LIMITED | | Y | Y | Y |
| NIPPON LIFE INSURANCE COMPANY | | Y | Y | Y |
| TELSTRA GROUP LIMITED | | Y | Y | Y |
| WYNN MACAU, LIMITED | | Y | Y | Y |
| SBI ARUHI CORPORATION | | Y | Y | Y |
| BANK OF COMMUNICATIONS LUXEMBOURG BRANCH | | Y | Y | Y |
| THE BANK OF EAST ASIA, LIMITED | | Y | Y | Y |
| BANK OF CHINA LIMITED | | Y | Y | Y |
| BNP PARIBAS | | Y | Y | Y |
| CITY OF NAGOYA | | Y | Y | Y |
| CHINA MERCHANTS BANK CO., LTD., LUXEMBOURG BRANCH | | Y | Y | Y |
| DEVELOPMENT BANK OF JAPAN INC. | | Y | Y | Y |
| MITSUBISHI HC CAPITAL INC. | | Y | Y | Y |
| DAH SING BANK, LIMITED | | Y | Y | Y |
| INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED | | Y | Y | Y |
| INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED | | Y | Y | Y |
| JAPAN EXPRESSWAY HOLDING AND DEBT REPAYMENT AGENCY | | Y | Y | Y |
| KODIT GLOBAL 2024-1 CO, LTD. | | Y | Y | Y |
| MITSUBISHI CORPORATION | | Y | Y | Y |
| MAJOR JOINT LOCAL GOVERNMENT BOND | | Y | Y | Y |
| MITSUBISHI UFJ FINANCIAL GROUP, INC. | | Y | Y | Y |
| SUMITOMO MITSUI TRUST HOLDING, INC. | | Y | Y | Y |
| NEW ZEALAND LOCAL GOVERNMENT FUNDING AGENCY LIMITED | | Y | Y | Y |
| OSAKA PREFECTURAL GOVERNMENT | | Y | Y | Y |
| HOKKAIDO GOVERNMENT | | Y | Y | Y |
| KANAGAWA PREFECTURAL GOVERNMENT | | Y | Y | Y |
| HIROSHIMA PREFECTURE | | Y | Y | Y |
| SUMITOMO MITSUI FINANCIAL GROUP, INC. | | Y | Y | Y |
| SUMITOMO MITSUI TRUST BANK, LIMITED | | Y | Y | Y |
| TOYOTA FINANCE CORPORATION | | Y | Y | Y |
| TOKYO METROPOLITAN GOVERNMENT | | Y | Y | Y |